



SAM GROUP ANNOUNCES RESULTS OF DOW JONES SUSTAINABILITY INDEXES REVIEW

*46 companies identified as new global sustainability leaders.
DJSI-based assets grow to over 5 billion USD.*

ZURICH (Sep. 6, 2006)— SAM Group today announced the results of the annual review for the Dow Jones Sustainability Indexes (DJSI). Effective with the opening of equity markets on 18 September, the Dow Jones Sustainability World Index (DJSI World) will have 46 companies added and 36 firms deleted. The pan-European sustainability benchmark – the Dow Jones STOXX Sustainability Index (DJSI STOXX) – will include 26 new companies and have 16 firms taken off. 17 companies will be added to, and 13 companies deleted from the Dow Jones Sustainability North America Index (DJSI North America). The results reflect SAM's latest analysis of corporate sustainability leadership across the world.

The additions to and deletions from the DJSI World, DJSI STOXX, and DJSI North America split up as follows into the different countries:

	DJSI World		DJSI STOXX		DJSI North America	
	Adds	Deletes	Adds	Deletes	Adds	Deletes
Australia	3	2	-	-	-	-
Brazil	3	-	-	-	-	-
Canada	1	1	-	-	3	1
Finland	-	2	2	2	-	-
France	3	1	4	5	-	-
Germany	4	1	5	1	-	-
Hong Kong	-	1	-	-	-	-
Italy	-	1	2	2	-	-
Japan	7	4	-	-	-	-
South Africa	2	1	-	-	-	-
Spain	5	-	3	1	-	-
Sweden	1	1	-	3	-	-
Switzerland	1	-	1	-	-	-
UK	6	4	9	2	-	-
US	10	17	-	-	14	12
Total	46	36	26	16	17	13

Accounting for issues such as corporate governance, risk management, branding, climate change, supply chain standards, and labor practices, the annual review of the DJSI family is based on a thorough assessment of corporate economic, environmental and social performance. Its results will influence the investment decisions of asset managers in 14 countries who have licensed the DJSI family as benchmarks and underlying for a variety of sustainability-driven portfolios – including mutual funds, segregated accounts, structured

products, as well as two exchange traded funds. Total assets under management in DJSI-based investment vehicles currently amount to over 5 billion USD – an increase over the last twelve months of 30 percent.

The assessment results also provide detailed information about recent sustainability developments in the corporate world:

- **The trend towards industry-specific sustainability management continues.** Companies are getting increasingly educated about the specific sustainability risks and opportunities in their sector and continuously move beyond general aspects. Examples for this include waste-to-energy production of utility companies, digital inclusion in the communication technology industry, and closed-cycle bleaching in the paper industry.
- **Competition increases for sustainability leadership.** As sustainability gains recognition, an increasing number of companies are competing for sector sustainability leadership and differences between leading companies in most sectors are getting smaller.
- **Huge discrepancies exist between companies concerning their operational risk management.** Only few companies report that they have established systems to quantify and visualize operational risks with tools such as risk maps, stress testing, sensitivity analysis, etc.
- **Leaders quantify the value of their brands.** Companies care about their brands and invest heavily into brand management, but few report that they are actually able to quantify the values of their brands and the returns on their brand investments.
- **Climate change continues to attract increased attention.** More companies recognize that climate change will have a major impact on their future operations and product offering. Leading energy firms include a climate change impact assessment in their M&A due diligence. The top financial institutions leverage their climate change know-how gained for internal assessments to market new products and services that go beyond mere carbon emission trading (e.g. risk management systems, environmental impact assessments of potential investments, etc.)
- **More global firms tailor their product offerings to the specific needs of developing countries.** Mobile communication technology providers offer low budget mobile phones or install phone booths in under-developed regions. Consumer goods manufacturers adjust their global offering in terms of product packaging, product sizing, pricing and contents (e.g. adding iodine to salt).
- **Overall, sustainability performance continues to advance across all sectors. At the same time, substantial room for progress in sustainability remains on the corporate agenda.**

“The market for sustainability investing has again made important steps ahead over the last 12 months. New sustainability mandates from pension funds, increasing interest among



foundations, and an emerging market for sustainability-driven private wealth management are cases in point for that. Rising concern about short-termism in financial markets gives further impetus to this development," said **Alexander Barkawi, managing director, SAM Indexes**.

"In the past seven years, since the launch of the Dow Jones Sustainability Indexes, sustainable investing has been recognized by a growing number of investors and is increasingly seen as an important driver of long-term performance. We are delighted to support this momentum by calculating an expanding family of professional and objective sustainability benchmarks that are utilized by asset managers around the globe," said **Michael A. Petronella, president, Dow Jones Indexes/Ventures**.

"Over the last years, we have not only seen growing interest in sustainability investing, but also rising demand for indexes that use other weighting factors than market capitalization," said **Lars Hamich, managing director, STOXX Limited**. "The Dow Jones STOXX Sustainability blue chip indexes use a unique, innovative weighting scheme that reflects the component's success in sustainability matters. Therefore the indexes provide a solid underlying for innovative, sustainability-driven products."

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Note to Editors:

SAM Group

SAM Group was established in Zurich in 1995 as the first financial services group focused exclusively on the integration of economic, environmental and social criteria into investing. The company's services comprise asset management, private equity and indexing. In 1999, SAM teamed up with Dow Jones Indexes to launch the Dow Jones Sustainability Indexes (DJSI) – the first benchmarks tracking the performance of sustainability leaders on a global scale. Two years later, European index provider STOXX Limited joined this cooperation to expand the DJSI family with European and Eurozone sustainability benchmarks – the DJSI STOXX and DJSI EURO STOXX. In 2005, the DJSI family grew further with the introduction of the DJSI North America and DJSI United States. The selection of index components follows a rules-based process defined in the DJSI Guidebooks. It is based on a thorough assessment of general and industry-specific sustainability criteria. The analysis is assured by an external auditor. Full details about the design philosophy, review methodology and index components are freely available at www.sam-group.com and www.sustainability-indexes.com.